

NAAC SSR II CYCLE

Criterion VI



INDEX

QM No.	QM NAME	Page No.
	6.3 Faculty Empowerment Strategies	
6.3.1	The institution has performance appraisal system, effective welfare measures for teaching and non-teaching staff and avenues for career development/progression.	1-15



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Performance Based Appraisal system (PBAS) Proforma-1

PERIOD OF APPRAISAL: 1ST JULY 20_ - 30TH JUNE 20_

PART - A: (GENERAL INFORMATION AND ACADEMIC BACKGROUND)

(to be filled by Faculty)

Name (in Block Letters) :

Department :

Designation (Joining):

Current Salary :

Date of Joining Institution:

Current Institute Name :

Academic Qualifications :

Examination	Name of Degree	Name of the University	Year of Passing/ Award	Percentage of marks obtained	Division / Class/Grade	Specialization
Graduation						
Post- graduation						
Ph.D.						
Others If any						

Experience (Yrs.): Teaching: _____Industrial: _____Research: _____

Total Experience (Teaching + Industrial) =



PART – B: PERFORMANCE PARAMETER INDICATORS

1. ACADEMIC PERFORMANCE & EVALUATION FOR TWO SEMESTERS

(THEORY / PRACTICAL COURSES)

i. DIRECT TEACHING OF THEORY/ PRACTICAL COURSES TAUGHT:

Sr. No.	Semester / Branch	Theory / Practical Course taught	Total lectures in semester as per plan	Actual no. of lectures conucted in semester	% Syllabus covered
1					
2					
3					
4					
5					
6					
7					
8					

REASONS FOR SHORTFALL (IF ANY), IN THEORY/PRACTICAL COURSES:

ii. RESULTS OF THEORY/ PRACTICAL COURSES TAUGHT:

Sr. No.	Semester / Branch	Theory / Practical Course taught	Total Students Appeared for Exam	All Clear Pass Students	% of Result	% University result for the course	Avg. % result of University for last 3 years of the course
1							
2							
3							
4							
5							
6		•					
7							
8		at term	DIR	ECTOR			
RE/	ASONS FOR	SHORTFALL (IFAN)		chsical@ampuse()RY/PRAC	CTICAL COUL	RSES:
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iii. CONTRIBUTION IN EXAMINATION :

Semester / UG or PG	Nature of Examination Duties	No. of Examination Duties	Total No. of In- Semester Paper Assesed / Paper setting	Total No. of End Semester Paper Assesed / Paper setting	Remark

iv. CONTRIBUTION TO INNOVATIVE TEACHING- LEARNING CURRICULAM ENRICHED :

Sr. No	6. Semester / Branch	Theory / Practical / Oral Course taught	Teaching- Learning Curriculam Material Enriched /Developed	Remark
1				
2				
3				
4				

v. STUDENTS' FEEDBACK:

V. STUDENTS' FEEDBACK:					
(to be filled by Head of Department only)					
Name of Theory/ Practical Course Taught	Sem/Branch	Feedback Index			
	(to be filled by Head of Depart Name of Theory/ Practical Course	(to be filled by Head of Department only) Name of Theory/ Practical Course Sem (Branch			

:

vi. PERFORMANCE AS GUARDIAN FACULTY MEMBER (GFM) / CLASS TEACHER:

No. of Students Allocated	:
No. of Meeting Conducted with Stud	lents :
No. of Students Clearing All Subject	s :
No. of Students Failing in One Subj	ect :
No. of Students Failing in Two Students	ers beli
No. of students participation	DIRECTOR CALENTICATION
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2. PROFESSIONAL DEVELOPMENT/ CO-CURRICULAR / EXTRA-CURRICULAR / EXTENSION ACTIVITIES:

vi. FDP/SHORT TERM COURSE/ONLINE COURSES ATTENDED / ORGANIZED:

Semester	Name of Activity (FDP/STTP/On-Line Course attended/Organized)	Duartion (IN DAYS)	Tiltle	Remark

(Attach certificates for the courses attended)

vii. CONTRIBUTION IN CO-CURRICULAR (REMEDIAL CLASSES, STUDY VISIT, STUDENT SEMINAR, STUDENTS ASSOCIATION/CHAPTER ACTIVITY, etc) / EXTRA-CURRICULAR (ALUMNI ASSOCIATION, ENTERPRENEIRSHIP DEVELOPMENT CELL (EDC), CULTURAL, SPORTS, NSS, etc.) / EXTENSION ACTIVITIES (PUBLIC / POPULAR LECTURES / TALK / SEMINAR ON CAREER COUNSELLING, etc):

Sr. No.	Name of Activity	Semester	Report submitted

(Please attach separate sheet if required)

viii. CONTRIBUTION TO INTERACTION WITH OUTSIDE WORLD / SOCIETY / INDUSTRY THROUGH THIS ORGANIZED EXPERT or GUSET or VISITING LECTURES / INDUSTRIAL VISIT/ CONSULTANCY / SPONSORSHIP/ IN-PLANT/ INTERNSHIP, etc:

Sr. No.	Name of Activity	Semester	Report submitted
	•		

(P1	leaseomtach	separate theet if required)
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ix. MEMBERSHIP OF PROFESSIONAL SOCIETY / BODIES : (if Applicable)

Sr. No.	Name of Professional Society / Body/ Association, etc.	Type of Membership	Membership NO.	Remark

(Please attach documents/proof)

x. ADDITIONAL PORTFOLIOS HANDLED:

a) Department Level:

b) Institute Level:

3. RESEARCH AND ACADEMIC CONTRIBUTION:

xi. CONTRIBUTION AS A GUIDE FOR ACADEMIC, INDUSTRY SPONSORED & RESEARCH-BASED **PROJECTS AT UG or PG LEVEL:**

(Attach proofs and submit brief synopsisrate sheet if required)

No. of UG Project guided	Achievements	No. of PG Project guided	Achievements
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xii. RESEARCH / ACADEMIC PROJECTS / PROPOSALS:

(Attach proofs and submit brief synopsisrate sheet if required)

Title of Project / Proposal	Details of Funding Agency & Fund Sanctioned	Date & Duration of Project	Status

xiii. PUBLICATIONS / PATENT / COPYRIGHT / TRADEMARK:

(mention title of paper, details of journal/conference, books, books chapters, attach separate sheet if required. Also attach first page of the publications/proofs.)

Sr. No.	Title of Publication / Patent /Copyright/ Trademark (Registered or Published)	Details of Journal/Conference/Authority	ISBN/ISSN/CBR No. (Details if any)

xiv. STUDENTS ACHIEVENT THROUGH CONTRIBUTION BY FACULTY NOT COVERED ABOVE:

- •
- •
- •
- •
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xv. ANY OTHER RELEVANT CONTRIBUTION NOT COVERED ABOVE:

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PART – C

(to be filled by Head of Department)

Name of Faculty

Name of Head of Department :

Length of service of Assessee under Head of Department :

:

(kindly, assess performance of Faculty on five point scale shown here with the help of information provided in **Part-I. 5**-Outstanding, **4**-Very good, **3**-Good, **2**-Satisfactory, **1**-Unsatisfactory. In case of Unsatisfactory rating, it is necessary to mention reasons thereof on a separate sheet of paper.)

Sr. No.	Parameter	Self- Assessment on 5-point scale by Faculty (A)	Assessment by HOD on 5-point scale (B)	Multiplying factor (C)	Total points = (B) * (C)
	1. ACADEMIC PERFORMANCE & EVALUATI	ON FOR TWO SEN	MESTERS		
1	Direct teaching of Theory/ Practical Courses Taught			10	
2	Results of Theory/ Practical Courses Taught			05	
3	Performance in Examination related Activities			10	
4	Contribution in Innovative Teaching -Learning Material Development			10	
5	Student feedback	*		10	
6	Performance as GFM / Class teacher			05	
	2. PROFESSIONAL DEVELOPEMENT / CO-C	URRICULAR / EXT	RA-CURRICULAR	/ EXTENSION A	CTIVITIES
7	FDP / STTP Course / Online Courses Attended / Organized			05	
8	Contribution in Co-curricular (Remedial Classes, Study Visit, Student Seminar, Students Association/Chapter Activity, etc.)			05	
9	Contribution in Extra-Curricular (Alumni Association, Enterpreneirship Development Cell (EDC), Cultural, Sports, NSS, etc.) / Extension Activities (Public/ Popular Lectures / Talk /Seminar On Career Counselling, etc.)	10 Au		05	



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		n to Interaction with						
10		dustry for Expert or					05	
10	0	ecture / Industria	,				05	
	Internship,	y / Sponsorship/	m-Plant/					
	. .	o of Professional S	Society /					
11	-	hapter / Students Ass	0 1				01	
		Portfolio Handled – De						
12	Level	ortiono manuleu - De	epartment				01	
		Portfolio Handled –	Institute					
13	Level		monute				02	
	Lever	3.	RESEARCH A		EMIC C	ONTRIBUTIO	N	
	Contribution	n as a Guide for A	Academic					
14		ponsored & Resear					05	
		UG/PG Level						
1 5	D 1.0		> 1				05	
15	Research &	Academic Projects / F	roposais				05	
16	Publications	s / Patent / Cop	oyright /				05	
10	Trademark						03	
	Students Ad	chievent Through Cor	ntribution					
17		Not Covered Above	Infibution				02	
19		Relevant Contribu	tion Not				01	
	Covered Abo	ove						
	Willingness	to take responsibiliti	es in new					
20	area of wo	ork & complete wit		*			02	
	schedule							
21	Ability to ins	spire and motivate		*			02	
22	Supervisory	ability		*			02	
23	3 Integrity and Trustworthiness			*			02	
		TOTAL POINT	S OBTAIN	ED (out	of 50	0)		
		Peri	formance	Grade				
							Not	
E	xcellent	Very Good	Goo			isfactory	Satisfactory	
	("O")	("A")	("B	~)		("C")	("D")	
	<400	350 - 399	300 -	349	25	0 - 299	> 250	

Date:

Signature & Seal of Head of Department

Note to HOD : 1. Minimum score of 250 out of 500 makes a faculty eligible for recommendadtion of his/her annual increament/promotion to higher position.

- 2. Submit appraisal forms of all faculty of your Department to Principal
- 3. Flexibility is given accordence by giving optional preference of 10% marks of parameter indicator like for yunior faculty interforch contribution and for senior faculty in examination & examination & stars

PART – D

(to be filled by Reviewing officers)

I agree with the assessment of the Head of Department

I differ with the assessment of the faculty member by Head of Department

for the following reasons.

Reasons :

Faculty Attendance Record:

Total Working			L	eave I	Details			Total	Total	% of
Days (22-23)	CL	EL	ML	SL	LWP	Vacation	C.Off	Leave Days	Present Days	Attendance
282										

Final Remarks

Recommended/ Not recommended for annual increment / promotion for the next grade.

(Institute Seal)

PRINCIPAL

Submitted to: President, YSPM for the favor of approval.



GUIDELINES TO FAULTY / HEADS OF DEPARTMENTS

FOR SELF-ASSESSMENT & ASSESMENT OF FACULTY PERFORMANCE

1. ACADEMIC PERFORMANCE & EVALUATION FOR TWO SEMESTERS

(THEORY / PRACTICAL COURSES)

i. Direct Teaching of Theory / Practical /Oral courses taught:

% Syllabus Theory Course	Points to award
>95	5
90 to 94	4
85 to 89	3
80 to 84	2
75 to 79	1
< 75	0

% Syllabus Practical Course	Points to award
>95	5
90 to 94	4
85 to 89	3
80 to 84	2
75 to 79	1
< 75	0

ii. Result in theory / Practical /Oral courses taught:

% Result Theory Course 1 st & 2 nd Year	Points to award	% Result Theory Course 3 rd & Final Year	Points to award	% Result Practical /Oral	Points to award
>60	5	>75	5	>95	5
50 to 59	4	65 to 75 %	4	85 to 95 %	4
41 to 49	3	55 to 65	3	75 to 85	3
31 to 40	2	45 to 55	2	60 to 75	2
< 30	1	< 45	1	<60	1

iii. PERFORMANCE IN EXAMINATION RELATED ACTIVITIES:

Performance In Examination Related Activities	Points to award
All 5 out of :-	
1. Promptly done examination duties during Mid-Sem	
2. Timely done Paper setting / assessment for Mid-Sem	5
3. Promptly done examination duties during end semester	
4. Timely done Paper setting / assessment for End-Sem	
5. Timely conducted submission / examination Practical / Oral	
Any 4 out of :-	
1. Promptly done examination duties during Mid-Sem	4
2. Timely done Paper setting / Assessment for Mid-Sepa	
3. Promptly done examination studies during one crorester	
Yashoda Technical Campus	1
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	- r
4. Timely done Paper setting / assessment for End-Sem	
5. Timely conducted submission / examination Practical / Oral	
Any 3 out of:-	
1. Promptly done examination duties during Mid-Sem	
2. Timely done Paper setting / assessment for Mid-Sem	3
3. Promptly done examination duties during End semester	
4. Timely done Paper setting / assessment for End-Sem	
5. Timely conducted submission / examination Practical / Oral	
Any 2 out of:-	
1. Promptly done examination duties during Mid-Sem	
2. Timely done Paper setting / assessment for Mid-Sem	2
3. Promptly done examination duties during End semester	
4. Timely done Paper setting / assessment for End-Sem	
5. Timely conducted submission / examination Practical / Oral	
NIL	0

Innovative Teaching- Learning Curriculam Material Enriched	Points to award
All 8 out of :-	
1. Printed /Handwritten notes 2. Power points presentations of Lectures 3. Question banks-regular questions & MCQs 4. Solutions of last 3 set of University	5
Question Papers 5. Chapter wise assessment 6. Laboratory manual 7. E-Content	
Development 8. Innovative Teaching-Learning Activity for course	
All 7 out of :-	
1. Printed /Handwritten notes 2. Power points presentations of Lectures 3.	
Question banks-regular questions & MCQs 4. Solutions of last 3 set of	4
University Question Papers 5. Chapter wise assessment 6. Laboratory manual 7.	
E-Content Development 8. Innovative Teaching-Learning Activity for course	
Any 6 out of :-	
1. Printed /Handwritten notes 2. Power points presentations of Lectures 3.	2
Question banks-regular questions & MCQs 4. Solutions of last 3 set of University	3
Question Papers 5. Chapter wise assessment 6. Laboratory manual 7. E-Content	
Development 8. Innovative Teaching-Learning Activity for course	
Any 5 out of:-	
1. Printed /Handwritten notes 2. Power points presentations of Lectures 3.	2
Question banks-regular questions & MCQs 4. Solutions of last 3 set of University	4
Question Papers 5. Chapter wise assessment 6. Laboratory manual 7. E-Content	
Development 8. Innovative Teaching-Learning Activity for course	
Any 4 out of:-	
1. Printed /Handwritten notes 2. Power points presentations of Lectures 3.	1
Question banks-regular questions & MCQs 4. Solutions of last 3 set of University	T
Question Papers 5. Chapter wise assessment 6. Laboratory manual 7. E-Content	
Development 8. Innovative Teaching-Learning Activity for course	
NIL	0



v. STUDENT FEEDBACK

% Feedback Index	Points to award
> 80	5
60 to 80	4
50 to 60	3
40 to 50	2
< 40	1

vi. Performance as GFM:

Performance as GFM	Points to award
Regular meetings+ well documentation + 60 % all clear students	5
Regular meetings + > 50 % all clear students in the group	4
Regular meetings + >40 % all clear students in the group	3
Regular meetings + >30 % all clear students in the group	2
No regularity in conduct of meeting	0

(All HODs should ensure uniform distribution of students to GFMs based on their merit)

2. PROFESSIONAL DEVELOPEMNT/ CO-CURRICULAR / EXTRA-CURRICULAR / EXTENSION ACTIVITIES:

vii. FDP/SHORT TERM COURSE/ONLINE COURSES ATTENDED / ORGANIZED

Participation/ organization of Workshops/Lectures (Inhouse/external)	Points to award
>3	5
3	4
2	3
1	2
Nil	0

viii. CONTRIBUTION IN CO-CURRICULAR ACTIVITIES:

Criteria	Points to award
Active involvement in organizing > 3 activities	5
Active involvement in organizing 3 activities	4
Active involvement in organizing 2 activities	3
Active involvement in organizing 1 activities	2
Involvement in no activity	0
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ix. CONTRIBUTION IN EXTRA-CURRICULAR / EXTENSION ACTIVITIES:

Criteria	Points to award
Active involvement in organizing > 3 activities	5
Active involvement in organizing 3 activities	4
Active involvement in organizing 2 activities	3
Active involvement in organizing 1 activities	2
Involvement in no activity	0

x. CONTRIBUTION TO INTERACTION WITH OUTSIDE WORLD / INDUSTRY THROUGH ORGANIZED EXPERT or GUSET or VISITING LECTURES / INDUSTRIAL VISIT/ CONSULTANCY / SPONSORSHIP/ IN-PLANT/ INTERNSHIP, etc

Criteria	Points to award
Active involvement in organizing > 3 activities	5
Active involvement in organizing 3 activities	4
Active involvement in organizing 2 activities	3
Active involvement in organizing 1 activities	2
Involvement in no activity	0

xi. MEMBERSHIP OF PROFESSIONAL SOCIETY / BODIES

Criteria	Points to award
Active membership of professional society Involvement in organizing >=	5
3 membership	
Active membership of professional society Involvement in organizing =	4
2 membership	
Active membership of professional society Involvement in organizing =	3
1 membership	
No membership	0

xii. ADDITIONAL PORTFOLIO HANDLED – Department Level

Criteria	Points to award
Active involvement in Portfolios (Department) = 3 Portfolio	5
Active involvement in Portfolios (Department) = 2 Portfolio	4
Active involvement in Portfolios (Department) = 1 Portfolio	3
Proactive Involvement in ported 6757	0
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xiii. ADDITIONAL PORTFOLIO HANDLED – Institute Level

Criteria	Points to award
Active involvement in Portfolios (Institute) = 3 Portfolio	5
Active involvement in Portfolios (Institute) = 2 Portfolio	4
Active involvement in Portfolios (Institute) = 1 Portfolio	3
Proactive Involvement in portfolio	0

3. RESEARCH AND ACADEMIC CONTRIBUTION

xiv. CONTRIBUTION AS A GUIDE FOR ACADEMIC, INDUSTRY SPONSORED & RESEARCH-BASED PROJECTS AT UG / PG LEVEL:

Contribution as a Guide for Academic, Industry Sponsored & Research-	Points to
Based Projects At UG/PG Level	award
Any 5 out of :- 1. Project completed in duration 2. Participated in any competition 3. Published any paper based on project in conference/journals 4. Registered /Published Patent/Design Patent/Trademark/Copyright on project 5. Funds received/sponsored from any authority	5
Any 3 out of :- 1. Project completed in duration 2. Participated in any competition 3. Published any paper based on project in conference/journals 4. Registered /Published Patent/Design Patent/Trademark/Copyright on project 5. Funds received/sponsored from any authority	4
Any 2 out of:-1. Project completed in duration 2. Participated in any competition 3. Publishedany paper based on project in conference/journals 4. Registered /PublishedPatent/DesignPatent/Trademark/Copyrightonproject5. Fundsreceived/sponsored from any authority	3
Any 1 out of:-1. Project completed in duration 2. Participated in any competition 3. Publishedany paper based on project in conference/journals 4. Registered /PublishedPatent/DesignPatent/Trademark/Copyrightonproject5. Fundsreceived/sponsored from any authority	2
NIL	0

xv. RESEARCH / ACADEMIC PROJECTS / PROPOSAL

Particulars	Points to award
Completed projects and patent received	5
Completed projects and applied for patent	4
Completed projects	3
Projects ongoing .	2
Projects submitted to funding agencies	1



xvi. PUBLICATIONS / PATENT / COPYRIGHT / TRADE MARK

Publications	Points to award	
1 paper in Refereed Journal/ 2 in International conferences or	5	
Patent/Copyright Published	5	
1 paper in International conference / 2 in National conferences or	4	
Patent/Copyright Registered	+	
1 paper in National conference	3	
Paper submitted but rejected	2	
No attempt made	1	

xvii. STUDENTS ACHIEVENT THROUGH CONTRIBUTION BY FACULTY NOT COVERED ABOVE:

Criteria	Points to award
Proactive involvement in Activities >3	5
Proactive involvement in Activities 2	4
Proactive involvement in Activities 1	3
No involvement in Activities	0

xviii. ANY OTHER RELEVANT CONTRIBUTION NOT COVERED ABOVE

Criteria	Points to award
Proactive involvement in Activities >3	5
Proactive involvement in Activities 2	4
Proactive involvement in Activities 1	3
No involvement in Activities	0

xix. WILLINGNESS TO TAKE RESPONSIBILITIES IN NEW AREA OF WORK & COMPLETE WITHIN TIME SCHEDULE:

There are roughly following portfolios which can be assigned to faculty members for effective functioning of department/institution. Time Table/ Attendance monitoring/ Student activities/ Class Teacher/ Lab in charge/Industrial visits/ Student feedback and analysis/Department library / Soft skill workshops/ Industrial training /Exam coordination/ Publicity etc.

Following criteria be applied for evaluation of Faculty member.

Criteria	Points to award
Handling more than 3 portfolios	5
Handling 3 portfolios	4
Handling 2 portfolios	3
Handling 1 portfolios	2
Involvement in no portfolio	0

XX. ABILITY TO INSPIRE AND MOTIVATE STUDENTS/ SUPERVISORY ABILITY/ INTEGRITY AND TRUSTWORTHINESS:

Head of Department should utilize his / her own discretion for awarding points for supervisory ability, integrity & trustworthiness and ability of a faculty member to inspire and motivate students

